

4 INVESTMENT DETAILS (Refer Instruction No.IV) • PLANS, OPTIONS & SUB-OPTIONS (See the Key Features for Scheme specific options & sub-options)

NAME OF THE SCHEME (Please leave one box blank between words)												[Please tick (✓) the appropriate boxes, only if it is applicable to the scheme/plan in which you wish to invest]											
I C I C I P R U D E N T I A L												<input type="checkbox"/> Retail <input type="checkbox"/> Institutional				<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment				<input type="checkbox"/> Growth/Cumulative <input type="checkbox"/> AEP-Regular* <input type="checkbox"/> AEP-Appreciation			
												AEP frequency : _____											
												Dividend Frequencies: <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly											
<input type="checkbox"/> Dividend Transfer Plan (DTP) (Please refer to instruction No. IV (f): Scheme Name: _____												Option: _____											

PAYMENT DETAILS (LUMP SUM INVESTMENT / FIRST CHEQUE FOR SIP)											
Amount Paid (A)				DD Charges (B)				Amount Invested (C) = (A) + (B)			
Rs. _____				Rs. _____				Rs. _____			
Bank Name & Branch _____											
City _____			Cheque/DD No. _____			Cheque/DD Date _____			Account Type (For NRI Investors)		
						<input type="checkbox"/> DD <input type="checkbox"/> MM <input type="checkbox"/> YY			<input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR		
SUBSEQUENT SIP INSTALLMENT DETAILS THROUGH PDCS				Number of Cheques				Installment Amount			
Cheque Number From _____				Cheque Number To _____				Rs. _____			
Start From _____				End to _____				SIP Date			
<input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th											
Drawn on Bank & Branch _____											

SIP through	
<input type="checkbox"/> Standing Instruction/Direct Debit	<input type="checkbox"/> PDCs
<input type="checkbox"/> ECS	<input type="checkbox"/> Monthly
SIP Frequency [Please tick (✓)] <input type="checkbox"/> Quarterly (Default is Monthly)	
<input type="checkbox"/> SIP TOP UP (Optional)	
TOP UP Amount*: _____	
Rs. _____	
*TOP UP amount has to be in multiples of Rs.500 only.	
TOP UP Frequency (Mandatory): <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly	
[In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. Please refer to the instruction V(k)]	

*Cumulative – AEP Regular Option : Encashment of Units is subject to declaration of dividend in the respective Scheme(s). Please refer to instruction no. IV(c).

<input type="checkbox"/> Trigger Please (✓) (Trigger can be done only from ICICI Prudential Target Returns Fund – Growth sub-option)											
NAV appreciation (Please ✓) <input type="checkbox"/> 12% <input type="checkbox"/> 20% <input type="checkbox"/> 50% <input type="checkbox"/> 100%						Trigger Amount <input type="checkbox"/> Appreciation amount only <input type="checkbox"/> All units					
Amounts/units to be triggered to Retail Option under (Please ✓): ICICI Prudential Floating Rate Plan – <input type="checkbox"/> Plan A <input type="checkbox"/> Plan B						Sub-options (Please ✓): Growth AEP* – <input type="checkbox"/> Appreciation <input type="checkbox"/> Regular					
<input type="checkbox"/> ICICI Prudential Liquid Plan <input type="checkbox"/> ICICI Prudential Short Term Plan <input type="checkbox"/> ICICI Prudential Income Plan						<input type="checkbox"/> Growth/Cumulative <input type="checkbox"/> Dividend Pay-out <input type="checkbox"/> Dividend Reinvestment					
Default Trigger Options: NAV appreciation: 20%; Scheme: ICICI Prudential Liquid Plan - Growth Option. *Cumulative - AEP Regular option: Encashment of units is subject to declaration of dividend into respective scheme(s).											

<input type="checkbox"/> Micro SIPs Please (✓) (Investment of equal to or less than Rs.50,000/- per annum under SIP registration) (Please refer instruction No. V(I))											
Required only in case of PAN not provided.											
Photo Identification Document Type (Mandatory)						ID Card No. / Reference No.					
1st Applicant _____											
2nd Applicant _____											
3rd Applicant _____											

5 NOMINATION DETAILS (Optional) • For Single nomination, please fill in the details below. • For multiple nominations, please use the form available separately.

I/We hereby nominate the undermentioned Nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Name of the Nominee _____												Date of Birth (If nominee is minor) _____											
Mr. Ms. M/s _____												D D M M Y Y Y Y											
Address of Nominee (Please provide full address) _____												PIN Code _____											
Name of the Guardian (If nominee is minor) - Mandatory _____												Relationship with minor _____											
Address of Guardian _____												Signature of Guardian _____											
												PIN Code _____											

6 YOUR CONFIRMATION/DECLARATION

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s).												DD		MM		YYYY		SIGNATURE(S)					
I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year.												First Applicant											
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.												Second Applicant											
I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc.												Third Applicant											
If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).																							

✂															
FOLIO No.: _____															
				Cheque/DD No. _____				Dated _____				Amount (Rs.) _____			
ACKNOWLEDGEMENT SLIP (To be filled in by the investor)															
<input type="checkbox"/> SIP TOP UP Amount Rs. _____ Frequency: <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly															
Drawn on (Name of Bank & Branch) _____															
Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.															



REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing)/STANDING INSTRUCTION / DIRECT DEBIT FACILITY SIP via ECS (Debit Clearing) in select cities or via **Standing Instruction/Direct Debit in select banks / branches only.**

Broker Code	Sub-broker Code	Serial Number, Date & Time of Receipt
-------------	-----------------	---------------------------------------

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio No.

Please tick (✓)

New Registration Cancellation

Change in Bank Account*
[*Please provide a cancelled cheque]

APPLICATION NO.:

The Trustee,
ICICI Prudential Mutual Fund,
I/We have read and understood the contents of the Offer Document/Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

Date:

Sole/First Applicant's Name

Scheme Name: ICICI PRUDENTIAL _____

Option*: _____ Sub-Option*: _____

*Refer to the "Key Features" on pages 21-29.

SIP Frequency: Monthly Quarterly
(Default SIP frequency is Monthly)

In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.

Each SIP Amount: Rs. Rupees in words: _____

SIP Start Month/Year

SIP End Month/Year

SIP TOP UP (Optional)
(Tick to avail this facility)

TOP UP Amount*: Rs. _____ TOP UP Frequency: Half Yearly Yearly

* TOP UP amount has to be in multiples of Rs.500 only. [Please refer to Instruction No. C(6)]

SIP Date:
 7th 10th 15th 25th

YOUR CONFIRMATION/DECLARATION:

I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder <input type="text"/>	2nd Holder <input type="text"/>	3rd Holder <input type="text"/>
---------------------------------	---------------------------------	---------------------------------

I/We, Mr./Ms./M/s. _____ (Name as per the Bank record) hereby authorise ICICI Prudential Mutual Fund and their authorised service providers to debit from my/our Bank Account No. mentioned below (hereinafter referred as "funding account") by ECS (Debit Clearing)/Direct Debit for collection of SIP payments/authorise the bank to record a Standing Instruction for debit to my bank account as mentioned below, as instructed by ICICI Prudential Mutual Fund.

PARTICULARS OF BANK ACCOUNT

Bank Name	<input type="text"/>
Branch Name	<input type="text"/>
Bank City	<input type="text"/>
Account Number	<input type="text"/>
9 Digit MICR Code	<input type="text"/>

Account Type Savings Current NRE NRO Cash Credit

(Please enter the 9 digit number that appears next to the cheque number). In case of At Par accounts, kindly provide the correct MICR number of the bank branch. MICR code starting and/or ending with 000 are not valid for ECS.

Enclosed [please tick (✓)]: Blank cancelled cheque Photocopy of Cheque [Please refer to Instruction No. C(5)]

Authorisation of the Bank Account Holder for Auto Debit (ECS)/Standing Instruction/Direct Debit

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform ICICI Prudential Mutual Fund, about any changes in my bank account. I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme. I/We apply for the units of the Scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme.

This is to inform I/we have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in ICICI Prudential Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any.

I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/our account happens to be a non banking/business day as defined in the Scheme Information Document of the said Scheme of ICICI Prudential Mutual Fund, execution of the debit will happen as per the normal practice of the bank mandated by the investor and allotment of units will happen as per the Terms and Conditions listed in the Scheme Information Document of the Mutual Fund. I/We have read and understood the Scheme Information Document(s) of the Fund. I/We apply for the units of the scheme and I/we agree to abide by the terms, conditions, rules and regulations of the scheme. I/We confirm to have understood the terms & conditions, investment objectives, investment pattern, fundamental objectives and risk factors applicable to the Plans and/or Options under the Scheme(s). I/We agree to abide by the terms, conditions, rules and regulations of the Plan(s). I/We have understood the details of the scheme and I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

I/We hereby agree to avail the TOP UP facility for SIP and authorize my bank to execute the ECS/Standing Instruction/Direct Debit for a further increase in installment from my designated account. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay /wrong debits on the part of the bank for executing the standing instructions of additional sum on a specified date from my account. If the transaction is not effected at all for reasons of incomplete or incorrect information, the user institution would not be held responsible. I/We agree to abide by the terms, conditions, rules and regulations of this facility. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS (Mandatory)

1st Holder <input type="text"/>	2nd Holder <input type="text"/>	3rd Holder <input type="text"/>
---------------------------------	---------------------------------	---------------------------------

BANK MANDATE SECTION (Mandatory)



ACKNOWLEDGEMENT SLIP
(To be filled in by the investor)

SIP Amount Rs. _____ Scheme Name: _____

SIP Frequency: Monthly Quarterly Option: _____

SIP TOP UP Amount Rs. _____ Frequency: Half Yearly Yearly Sub-Option: _____

Folio No./ Application No.

Acknowledgement Stamp

TERMS AND CONDITIONS

A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangeree • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Udaipur • Udupi • Varanasi • Vijayawada (also covers Guntur, Tenali & Mangalagiri) • Vishakhapatnam.

1. This facility is offered to the investors having bank accounts in the select cities mentioned above. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
2. The bank account provided for ECS (Debit) should participate in local MICR clearing.
3. SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
4. Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.
The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date**. The investor will cease to be a part of the SIP on receipt of the written request.
5. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
6. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
7. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
8. In case of "At Par" cheques, investors need to mention the MICR number of his actual bank branch.

B) SIP Payment through Standing Instruction/Direct Debit Facility

1. Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank & ICICI Bank.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Bank of Baroda, Bank of India, Punjab National Bank & Union Bank of India.

* Please contact your local bank branch to confirm if it offers core banking facility.

2. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received by 20th of the month. The investor will cease to be a part of the SIP on receipt of the written request.
3. Standing Instructions incomplete in any respect are liable to be rejected.
4. SIP is liable for cancellation if direct debit fails for three consecutive times.
5. The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

C) General Instructions

1. Existing investors need to provide their folio number in this Standing Instruction or the Auto Debit form and need not to fill in the Common Application Form.
For minimum application amount to be invested in SIP, risk factors, features etc. please refer to page nos. 21-29 of the Key Information Memorandum.
2. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
3. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
4. For load structure of the schemes, please refer to the Key Features on page nos. 21-29.
5. In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
6. **SIP TOP UP Facility:**
 - (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
 - (b) The TOP UP amount has to be in multiples of Rs.500 only.
 - (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered.
 - (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
7. The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM(S)

I. GENERAL INSTRUCTIONS

a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in BLOCK Letters.

b) The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of HUF, the Karta should sign on behalf of the HUF.

Signature mismatch cases: While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving licence etc.) confirming the identity of the investors. List of such documents may be notified by AMC from time to time on its website.

c) If you are a new investor and wish to apply for SIP through Auto Debit by way of Electronic Clearing Service (ECS) or Standing Instructions to your bank account you need to fill in the respective Form in addition to the Common Application Form.

d) The investors who wish to avail Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) facilities must use separate transaction forms available in any of the ICICI Prudential Mutual Fund Customer Service Centres.

e) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.

f) The Application completed in all respects along with the cheque/demand draft must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid will be refunded without interest.

g) No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.

h) **Overwriting on application forms/transaction slips:** In case of corrections/overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips in case the investor(s) has(ve) not countersigned in each place(s) where such corrections/overwriting has(ve) been made.

II. UNITHOLDERS INFORMATION

a) Existing Unitholders

If you have an existing folio with PAN validation & KYC validation (if applicable), please mention the Folio Number in **Step 1** and proceed to **Step 4** in the application form. Please note that the applicable details and mode of holding will be as per the existing folio.

b) New Applicant

1. Name and address must be given in full (P.O. Box Address is not sufficient). In case of NRI/PIO/FII investors an overseas address must be provided.

2. Name of guardian must be mentioned if the investments are being made on behalf of a minor. Date of Birth is mandatory in case of minor.

Investments of the existing minor investor on minor attaining majority: Upon attaining majority, a minor has to write to the fund, giving his specimen signature duly authenticated by his banker as well as his new bank mandate, PAN details, UIN details (if applicable as per prevalent SEBI Guidelines) in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.

3. In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

Power of Attorney (POA): In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc. under his folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA or proof of identity alongwith signature is produced along with the POA.

4. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notarized Copy (f) Judicial Authority.

5. Applicants should indicate their status by ticking the appropriate box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.

6. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividend/redemption/refund warrants and any other correspondence sent from time to time.

7. Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.

8. **Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument.

In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

III. BANK DETAILS

The first Unitholder should provide the name of the bank branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete.

Please quote 9 Digit Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code No. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details is available.

IV. INVESTMENT DETAILS

a) Investor has to fill a separate form for each scheme that he wishes to invest in.

b) Investor should select only one option (growth/dividend) and corresponding sub-option (e.g. Reinvest/Payout/Dividend Transfer Plan in case of Dividend Option) under the scheme(s) wherever applicable by ticking the appropriate box.

c) Investor opting for AEP option (under Income Plan & Monthly Income Plan) are requested to choose either the AEP-Regular option or the Appreciation Option, Investor has the option of selecting either Monthly/Quarterly/Half Yearly sub option under the Appreciation Option.

Incase investor has selected multiple options under AEP, the default option would be AEP Regular option, and the default sub option under Appreciation Option would be Monthly sub option.

d) In case, the investor has not selected the option/sub-option for his investments, default option/sub-option as prescribed in the offer document of the relevant scheme will be applied.

e) For minimum application amount, please refer to table given on page 21 to 28.

f) Dividend Transfer Plan:

1) An investor can avail this facility where by dividend declared will be automatically invested into any open-ended schemes of ICICI Prudential Mutual Fund.

2) The amount to the extent of distribution, will be automatically invested on the ex-dividend date into the scheme selected by the investor at the NAV of that scheme.

3) This facility cannot be availed under Daily Dividend Plans and Weekly Dividend Plans.

V. SYSTEMATIC INVESTMENT PLAN (SIP)

a) For SIP facility, please refer to the **Key Scheme Features** on page nos. 21-29. And also refer Instruction No.VI(a) for mode of payment for SIP through PDCs.

b) Investors opting for SIP need to fill the Common Application Form and tick any of the payment option such as (i) SIP payment through Bank Standing Instruction/Direct Debit (ii) Auto Debit by way of Electronic Clearing Service (ECS) (iii) Post Dated Cheques (PDCs). If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investors also need to fill the respective form.

c) **The Second SIP installment amount and the subsequent SIP installment amount should be of the same amount. However, the First SIP installment need not be of the same amount as Second and Subsequent SIP installments amount. This is applicable to investors opting for SIP through PDCs.**

d) In case of SIP transaction where, the mode of payment is through Standing Instruction/Auto Debit facility (offered by select banks) or ECS, investors are not required to do an initial purchase transaction for the minimum amount as applicable. **However, investors are required to submit SIP request at least 30 days prior to the date of first installment.**

e) Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.

f) In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.

g) For entry and exit load structure under the Schemes, please refer to the Key Scheme Features on page nos. 21-29.

h) Issue post dated cheques dated either 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unitholder indicating the new balance to his or her credit in the account.

1) **For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website www.icicpruamc.com**

Option 1: The First SIP installment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the other installments should be through post-dated cheques for the SIP dates i.e. 7th or 10th or 15th or 25th of the month.

The SIP date selected by an Investor should fall at least 20 calendar days after the date of first SIP installment. *E.g.* if the first SIP installment is on January 09, 2007, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after January 29, 2007.

Option 2: In case all the installments (i.e. including the first Installment) are paid through post-dated cheques, the SIP forms along-with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC at least 20 calendar days before the start of the SIP. Post-dated cheques for all the installments (i.e. including the first Installment) should be dated the SIP dates only i.e. 7th or 10th or 15th or 25th of the month.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM(S) (Contd.)

2. For all SIP applications with Post Dated Cheques

The SIP date selected by an investor should fall at least 20 calendar days after the date of first SIP installment. Eg: if the first SIP installment is on January 09, 2007, then the SIP date that can be selected by an investor for the second and subsequent installments should fall after January 29, 2007.

- i) SIP Auto Debit via ECS (Debit Clearing) is available at the following 80 centres:
 • Agra • Ahmedabad • Allahabad • Amritsar • Asansol • Aurangabad • Bangalore • Baroda • Belgam • Bhillwara • Bhopal • Bhubaneswar • Bijapur • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Udaipur • Udupi • Varanasi • Vijayawada (also covers Guntur, Tenali & Mangalgi)
- j) Existing investors opting for SIP need to provide their Folio Number along with SIP details.
- k) **SIP TOP UP Facility:** (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals. (b) The TOP UP amount has to be in multiples of Rs.500 only. (c) The frequency is fixed at Yearly and Half Yearly basis. In case the frequency is not selected, the TOP UP facility may not be registered. (d) In case of Quarterly SIP only Yearly frequency is available under SIP TOP UP.

- l) **MICRO SIP:** Systematic Investment Plans (SIPs) where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter).

Micro SIP investors are required to submit any of the following PHOTO IDENTIFICATION documents along with Micro SIP applications as a proof of identification if the PAN is not provided:

- (1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account) (7) Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs <http://www.mca.gov.in/DCAPortal/Web/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>); (8) Photo identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen / Freedom Fighter ID card issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); (13) Any other photo ID card issued by Central Government/State Governments/Municipal authorities/Government organizations like ESIC/EPFO.

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with Micro SIP applications.

Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN number.

Micro SIP application without the supporting document will liable to be rejected.

The following transactions will not be covered as they are currently not considered either for PAN or KYC requirement: (1) Redemption (2) Switch (3) Systematic Transfer Plans (4) Systematic Withdrawal Plans (5) Dividend Reinvestments / Sweep transactions.

This exemption will also not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.

VI. MODE OF PAYMENT

- a) The cheque/demand draft should be drawn in favor of "ICICI Prudential Income Plan" or "ICICI Prudential Flexible Income Plan" or "ICICI Prudential Gilt Fund – Treasury Plan" or "ICICI Prudential Gilt Fund – Investment Plan" or "ICICI Prudential Monthly Income Plan" or "ICICI Prudential Liquid Plan" or "ICICI Prudential Short Term Plan" or "ICICI Prudential Growth Plan" or "ICICI Prudential FMCG Fund" or "ICICI Prudential Balanced Fund" or "ICICI Prudential Tax Plan" or "ICICI Prudential Technology Fund" or "ICICI Prudential Power" or "ICICI Prudential Dynamic Plan" or "ICICI Prudential Floating Rate Plan" or "ICICI Prudential Income Multiplier Fund" or "ICICI Prudential Discovery Fund" or "ICICI Prudential Emerging S.T.A.R. Fund" or "ICICI Prudential Index Fund" or "ICICI Prudential Infrastructure Fund" or "ICICI Prudential Services Industries Fund" or "ICICI Prudential Equity & Derivatives Fund – Income Optimiser Plan" or "ICICI Prudential Equity & Derivatives Fund – Wealth Optimiser Plan" or "ICICI Prudential Indo Asia Equity Fund" or "ICICI Prudential Focused Equity Fund" or "ICICI Prudential Banking & Financial Services Fund" or "ICICI Prudential Target Returns Fund", as the case may be and crossed "Account Payee Only".

The cheque / demand draft should be payable at the centre where the application is lodged. The cheque / demand draft should be drawn on any bank which is situated at and is a member / sub-member of the Bankers' Clearing House. Cheques/ demand drafts drawn on a bank not participating in the Clearing House will not be accepted.

- b) Separate Cheque/Draft is required for each scheme invested.
- c) Payments by Stockinvests, cash, postal orders, money orders and outstation cheques will not be accepted.

- d) The Fund will bear the demand draft charges subject to maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at location where the Asset Management Company (AMC's) Customer Service Centers/Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges of Demand Draft(s)
Upto Rs.10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs.10,000/-	Rs. 3/- per Rs. 1000/-
Maximum Charges	Rs. 50,000/-

However, the demand draft charges will not be reimbursed for ICICI Prudential Liquid Fund, ICICI Prudential Short Term Plan, Prudential ICIC Gilt Fund – Treasury Plan and ICICI Prudential Floating Rate Plan.

AMC reserves the right to refuse the reimbursement of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion which will be final and binding on the investor.

Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located, are requested to make the payment by way of demand draft(s) after deducting bank charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be reimbursed by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/Collection Centers of the AMC are located.

e) NRI/FII/PIO Investors

1. **Repatriation basis:** Payments by NRIs / FIIs / Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

2. **Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

VII. NOMINATION

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee."
- Investors who want to make multiple nominations should give a separate request to the AMC.

VIII. DIRECT CREDIT OF DIVIDEND/REDEMPTION: ICICI Prudential AMC had entered into an arrangement with certain banks such as Centurion Bank of Punjab, Citibank N.A., Deutsche Bank, HDFC Bank, HSBC, ICICI Bank, IDBI Bank, Kotak Bank, Standard Chartered Bank and Axis Bank for direct credit of redemption and dividend proceeds, if investors have a bank mandate with any of these banks. However, the Fund reserves a right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time/ withdraw direct credit facility from the banks, based on its experience of dealing with any of such banks or add / withdraw the name of the bank with whom direct credit facility arrangements can be introduced/ discontinued as the case may be.

IX. E-MAIL COMMUNICATION: Delivering service through web/e-mail is a more efficient delivery channel. When an investor has communicated his/her e-mail address and has provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund/ its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, investor always has a right to demand a physical copy of any or all the service deliverable and Fund would arrange to send the same to the investor. Account statements will be send via email by default to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unitholder is aware of all the security risks associated with online communication, including possible third party interception of the documents send via email.

X. KNOW YOUR CUSTOMER (KYC) NORMS: With effect from February 1, 2008 Investors in Mutual Funds investing Rs. 50,000/- and above are required to comply with Know Your Client (KYC) norms under the Prevention of Money Laundering Act 2002 (PMLA). Copy of KYC acknowledgement is mandatory. Please refer AMFI's or AMC's website (www.amfiindia.com or www.icicipruamc.com) for details.